



Filing ID #10024848

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Sue Zwahlen  
**Status:** Congressional Candidate  
**State/District:** CA10

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 11/12/2018  
**Period Covered:** 01/01/2017– 04/30/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of the West [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Bank of the West [BA]	JT	\$1,001 - \$15,000	None		
Dental Building [RP]	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	None
LOCATION: Modesto, CA, US					
Dental Practice Sale [DO]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	None
DESCRIPTION: Note Receivable on sale of spouses dental practice					
Jackson National [WU]		\$15,001 - \$50,000	Tax-Deferred		
LIVING TRUST ⇒ LINCOLN VUL ONE 2010 INS CONTRACT ⇒ Lincoln Vul One 2010 [WU]	JT	\$50,001 - \$100,000	None		
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Allegheny Cnty Pa Hosp [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Bank of America [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ California Health FACS [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ California St Univ Rev [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ California St Var Purp [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Cape Coral FLA Wtr & Swr [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Columbus Ohio Various [CS]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Hawaii St Hwy Rev [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Indiana Mun Pwr Agy [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Massachusetts St Go Ref [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bonds					
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Merrill Lynch Bank Deposit Program [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Miami Dade Cnty Fla [CS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
DESCRIPTION: Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ New Jersey Environmental [CS]  DESCRIPTION: Bond	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ New York NY Go Bds [CS]  DESCRIPTION: Bonds	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ New York NY Mun [CS]  DESCRIPTION: Bonds	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ New York St Urban Dev [CS]  DESCRIPTION: Bond	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Omaha Pub Pwr [CS]  DESCRIPTION: Bond	JT	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Phoenix Ariz Civic Impt [CS]  DESCRIPTION: Bonds	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
LIVING TRUST ⇒ Lord Abbett Int Muni ⇒ Wisconsin St Go Bds [CS]  DESCRIPTION: Bond	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
MFA MOD CONSERVATIVE ⇒ Bank of America [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Blackrock Eqty Divident [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Clearbridge Large Cap [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Ishares Russell 1000 [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Ishares Russell 1000 [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Ishares Tr Core MSCI [MF]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
MFA MOD CONSERVATIVE ⇒ Ishares TR Russell 2000 [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Ishares US Treasury Bond [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ MFS Value FD CL I [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Oppenheimer Internatl [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Pimco Foreign Bond FD [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ SPDR Blmbrg Brcly [MF]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ T Rowe Price Emerging [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ TCW Total Return [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ The Oakmark Intl Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Vanguard Intrmdiate Term [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
MFA MOD CONSERVATIVE ⇒ Vanguard Short Term [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
MLPF&S Cust FPO [PE]	SP	\$1,000,001 - \$5,000,000	Tax-Deferred		
PIA GALLO 3 BALANCED ⇒ Alexion Pharmaceuticals, Inc. (ALXN) [ST]	JT	\$15,001 - \$50,000	None		
PIA GALLO 3 BALANCED ⇒ Bank of America [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
PIA GALLO 3 BALANCED ⇒	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Celanese Corporation [ST]	Celanese Corporation (CE)				\$1,000
PIA GALLO 3 BALANCED ⇒ Citigroup, Inc. (C) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Doubleline Low Duration [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ DowDuPont Inc. (DWDP) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Global X China Consumer [MF]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Ishares Gold TR [MF]	JT	\$50,001 - \$100,000	None		
PIA GALLO 3 BALANCED ⇒ Ishares MSCI Emerging [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Ishares MSCI Emerging [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Ishares Silver TR [MF]	JT	\$50,001 - \$100,000	None		
PIA GALLO 3 BALANCED ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Lord Abbett Short [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ Materials Select Sector [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ Mosaic Company (MOS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
PIA GALLO 3 BALANCED ⇒ Pimco Low Duration [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ Sector Spdr Energy [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PIA GALLO 3 BALANCED ⇒ Spdr US Financial Sector [MF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ Spdr US Financial Sector [MF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
PIA GALLO 3 BALANCED ⇒ Westrock Company (WRK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
PIA GALLO 3 BALANCED ⇒ Wisdomtree Trust Japan [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Rabobank [BA]	JT	\$1 - \$1,000	None		
Westamerica [BA]	JT	\$15,001 - \$50,000	None		
Westamerica Bank [BA]	SP	\$15,001 - \$50,000	None		
DESCRIPTION: Dental practice account					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Doctors Medical Center	Salary	\$3,929.00	\$12,605.00
Dental Practice	Spouse business	N/A	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Merrill Lynch LMA Account	July 2017	LOC for rental property purchase and remodel	\$1,000,001 - \$5,000,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	STanislaus County Focus on Prevention strengthening Families Action Council

Position	Name of Organization
Board Member	Interfaith Ministries

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Doctors Medical Center (Modesto, CA, US)	Nurse
Dental Practice (Modesto, CA, US)	Dental Practice (Spouse)

## SCHEDULE A ASSET CLASS DETAILS

- LIVING TRUST (Owner: JT)
- LIVING TRUST ⇒ LINCOLN VUL ONE 2010 INS CONTRACT (Owner: JT)
- LIVING TRUST ⇒ Lord Abbett Int Muni (100% Interest) (Owner: JT)
- MFA MOD CONSERVATIVE (Owner: SP)
- PIA GALLO 3 BALANCED (Owner: JT)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Sue Zwahlen , 11/12/2018